

## **NOTICE OF PROPOSED RULEMAKING** **COLORADO DIVISION OF SECURITIES**

Notice is given that Gerald Rome, Securities Commissioner, Colorado Division of Securities, Department of Regulatory Agencies, will hold a public hearing at **9:00 AM on Tuesday, December 1, 2015**, at the following location:

**Colorado Department of Regulatory Agencies  
Conference Room 975  
1560 Broadway, 9<sup>th</sup> Floor  
Denver, Colorado 80202**

The public hearing is being held to: 1) amend Rule 51-3.1 to reduce the filing requirements of issuers registering securities by coordination and 2) amend Rule 51-3.7 to require issuers to file the Form D electronically through the North American Securities Administrators Association's Electronic Filing Depository.

At the public hearing, interested parties will be afforded an opportunity to be heard and submit written data, views, or arguments. The Commissioner may also allow oral presentations of such data, views, or arguments unless he deems such presentation unnecessary. Information and materials regarding the proposed rules will be available to any person at the Colorado Division of Securities, 1560 Broadway, Suite 900, Denver, Colorado 80202, and on the Division's website, <http://www.dora.state.co.us/securities>, at least five days prior to the public hearing. Additionally, a Statement of Basis and Purpose for each amendment and copies of the proposed rules are attached to this notice.

The Commissioner's authority to promulgate such rules is found in Sections 11-51-101, *et seq.*, C.R.S, including parts 3 and 7.

Reasonable accommodation will be provided upon request for persons with disabilities. If you are a person with a disability who requires an accommodation to participate in this public hearing, please notify Lillian Alves at (303) 894-2320 by November 25, 2015.